

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Aldon R. Reinhardt

Application No.: Filed Herewith
Filed: 9/30/2003
For: "Energy System"

Parent Appln. Group No.: 3749
Parent Appln. Examiner: Jiping Lu

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: September 30, 2003

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted

Section 1. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No.: 09/953,024, filed on September 13, 2001.

Copies of the documents listed on the accompanying Form PTO-1449 that are not enclosed were previously submitted in Application No.09/953,024, from which this Application claims an earlier effective filing date.

Applicant respectfully requests that the listed information be considered by the Examiner and be made of record in the above-identified application. Form PTO-1449 is enclosed and the Examiner is requested to initial and return it in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.


☒ This statement qualifies under 37 C.F.R. § 1.97, subsection (b) because (check all that apply):

- ☒ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits.
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114

- ☐ 37 C.F.R. § 1.97(c). If this statement is being filed after the period specified in § 1.97(b), but before the mailing date of the earlier of a final office action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, then:
- ☐ a certification as specified in § 1.97(e) is provided below; **or**
- ☐ a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. § 1.97(d). If this statement is being filed after the period specified in § 1.97(c), but on or before payment of the issue fee, then:
- A. a certification as specified in § 1.97(e) is completed below; **and**
- B. a fee of \$180.00 as set forth in § 1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$180 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0574 (Docket No. _____).

Date: 9-13-03

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Signature of Practitioner

E. Eric Hoffman
Bever, Hoffman & Harms, LLP

I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESSMAIL Label No.: EO901670103US, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

9-30-2003
Date


Signature: Carrie Reddick

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>	Application Number	Filed Herewith
	Filing Date	9/30/2003
	First Named Inventor:	Aldon R. Reinhardt
	Examiner name: J. Lu (Parent case)	GROUP: 3749 (Parent case)

Sheet 1 of 1 Attorney Docket Number VTI-001-1D

U.S. PATENT DOCUMENTS

Examiner Initials*	Cited No. ¹	U.S. Patent Document Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Info. Appear
	A1	6338337	B1	Panz et al.	01-15-2002	
	A2	6293277	B1	Panz et al.	09-25-2001	
	A3	6016773		Zinke	01-25-2000	
	A4	5615668		Panz et al.	04-01-1997	
	A5	4993402		Ripka	02-19-1991	
	A6	4633821		Cleer, Jr.	01-06-1987	
	A7	4512288		Michaud	04-23-1985	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cited No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Info. Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.